

Syntactic Categories and Cross-Linguistic Variation

2

Superficial similarities ...

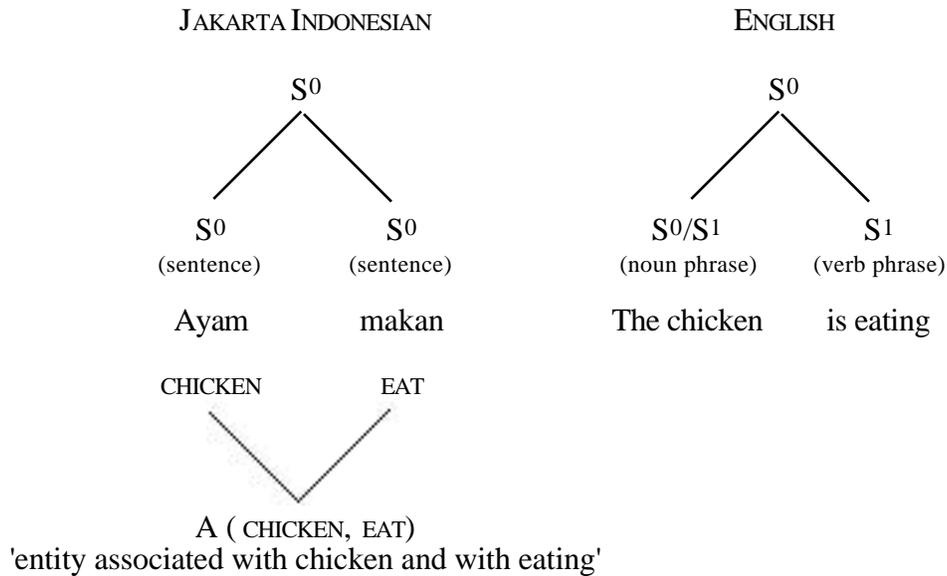
| | | | | | | |
|------------|--|---------|----------------|------------|--------|-------------|
| JAKARTA | Arip baca | | buku hijau | di | rumah | Bowok |
| INDONESIAN | Arip read | | book green | LOC | house | Bowok |
| | | | / | | | |
| | S V | | O N A | Prep | N | G |
| | | | \ | | | |
| FRENCH | Alainlisait | le | livre vert | dans la | maison | de Bertrand |
| | Alain read-IMPF:3SG | DEF:SGM | book green-SGM | in DEF:SGF | house | of Bertrand |
| | 'Allan was reading the green book in Bill's house' | | | | | |

3

...conceal deeper differences ...

| | JAKARTA INDONESIAN | ENGLISH |
|---|--|---|
| | Ayam makan | The chicken is eating |
| FORM | | |
| <i>symmetry</i> | symmetric | asymmetric: agreement: The chicken is government: is -ing |
| MEANING | | |
| <i>number</i> (on CHICKEN) | unmarked: also ... 'The chickens are eating' | marked: singular |
| <i>definiteness</i> (on CHICKEN) | unmarked: also ... 'A chicken is eating' | marked: definite |
| <i>tense</i> (on EAT) | unmarked: also ... 'The chicken was eating' 'The chicken will be eating' | marked: present |
| <i>aspect</i> (on EAT) | unmarked: also ... 'The chicken eats' 'The chicken has eaten' | marked: progressive |
| <i>thematic role</i> (on CHICKEN) | unmarked: also ... 'Someone is eating the chicken' 'Someone is eating for the chicken' 'Someone is eating with the chicken' | marked: agent |
| <i>ontological type</i> (on CHICKEN EAT) | unmarked: also ... 'The chicken that is eating' 'Where the chicken is eating' 'When the chicken is eating' | marked: activity |

...which suggest the following analyses ...



Syntactic Categories and Universal Grammar

[following Gil (2000a)]

- (1) *Syntactic Categories: Basic Properties*
 - (a) Syntactic categories are defined exclusively in terms of syntactic properties;
 - (b) Syntactic categories consist of words and of larger constituents;
 - (c) Syntactic category membership is defined in terms of prototypes;
 - (d) Syntactic categories exhibit different degrees of productivity.

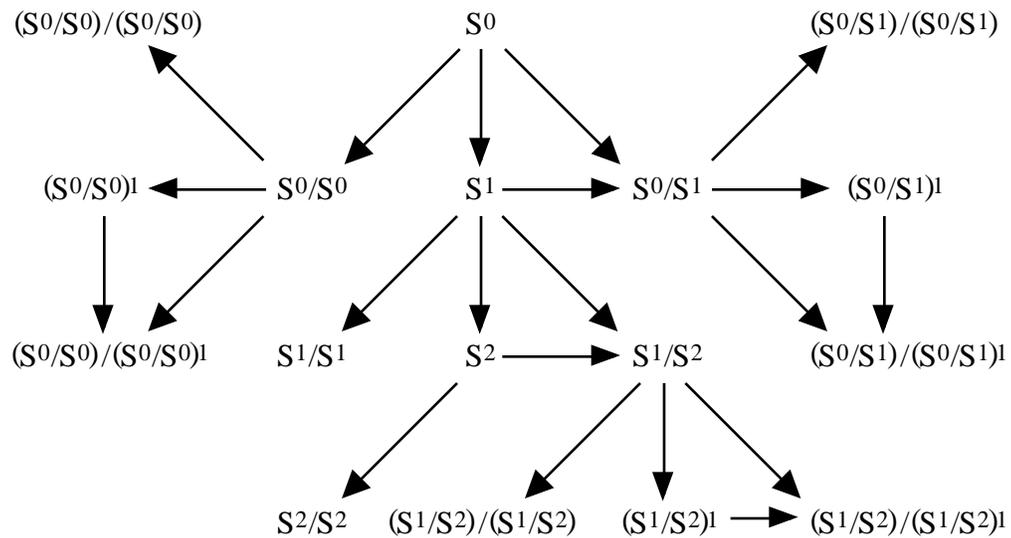
- (2) *Syntactic Categories: Categorical-Grammar*

Syntactic categories are of two types, primitive and derived. Derived categories are obtained by the application of category-formation operators to other (primitive or derived) categories. Resulting is a "family tree" of syntactic categories [such as in box 5 below].

- (3) *Category Formation (Paradigmatic)*
 - (a) One primitive category, S^0 , corresponding roughly to the intuitive pre-theoretical category of Sentence.
 - (b) Two category formation operators:
 - (i) **Slash Operator:**
For any two categories X and Y, X/Y is a category, called "X slash Y".
 - (ii) **Kernel Operator:**
For any category X^n , X^{n+1} is a category, called "the kernel category of X^n ".

- (4) *Category Combination (Syntagmatic)*
 - (a) Identity Combination: X [X, X, X ...]
 - (b) Slash Combination: X [Y, X/Y, X/Y ...]

A Syntactic Category Tree



(5) *Ancestor categories:*

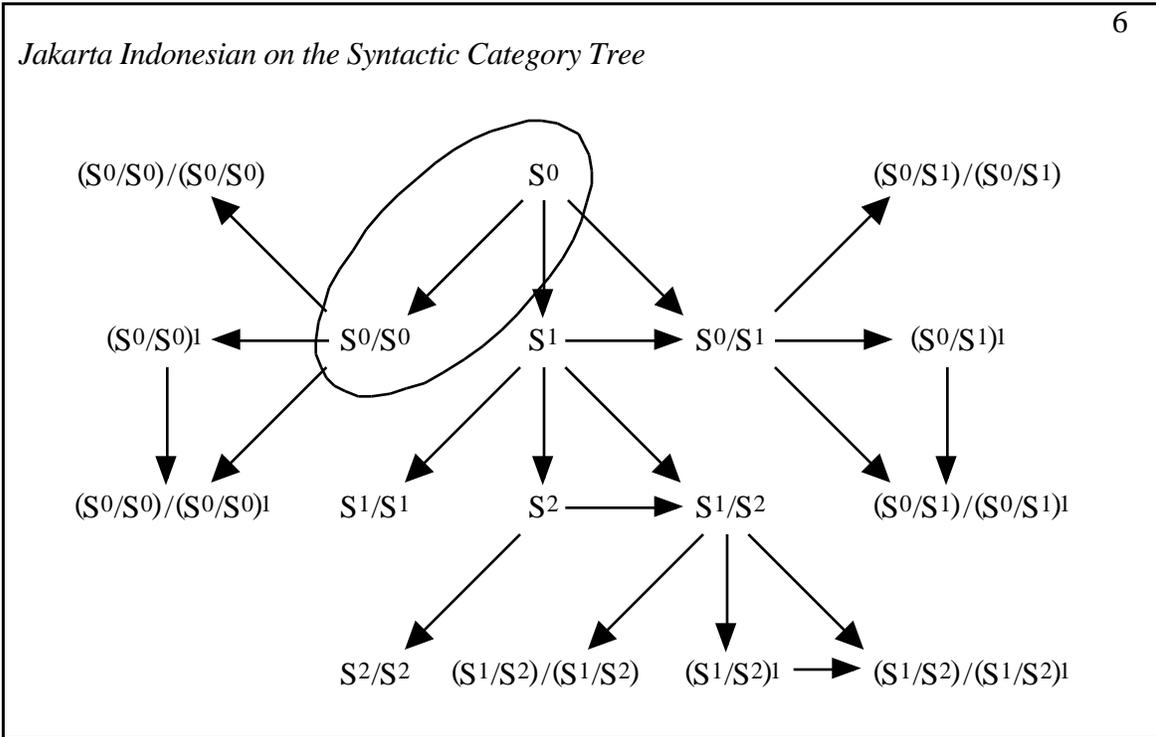
For any syntactic category, all of the categories that dominate it in the syntactic category tree are its ancestor categories. If Y is an ancestor of X, Y is simpler than X.

(6) *Three empirical consequences of the Syntactic Category Tree:*

- (a) *Typological / Cross-Linguistic:* [Gil (2000a)]
 If a language has a certain syntactic category, it has all of its ancestor categories.
 (Categories higher on the tree are more cross-linguistically widespread.)
- (b) *Evolutionary:* [Gil (2000b)]
 If a stage in the evolution of language has a certain syntactic category, it has all of its ancestor categories.
 (Categories higher on the tree evolved earlier.)
- (c) *Acquisitional:* **[this paper]**
 If a stage in the first-language acquisition of language has a certain syntactic category, it has all of its ancestor categories.
 (Categories higher on the tree are acquired earlier.)

Syntactic Categories in Jakarta Indonesian
 [following Gil (1994, 2000a, 2001) for Riau Indonesian]

Jakarta Indonesian on the Syntactic Category Tree



(7) *Syntactic Categories in Jakarta Indonesian*

(a) S^0

An open category, containing all multi-word expressions and almost all single-word expressions.

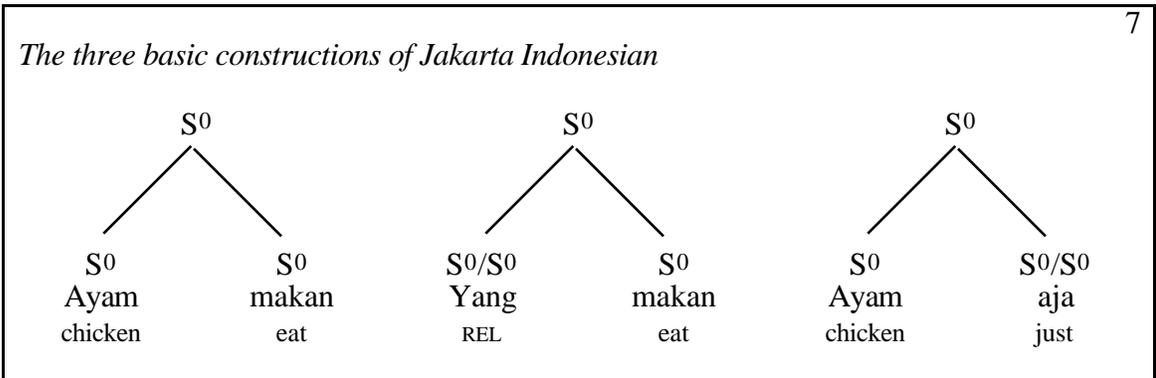
S^0 expressions may stand on their own as complete non-elliptical sentences.

(b) S^0/S^0

A closed category, containing a small, finite set of single-word expressions.

S^0/S^0 words cannot stand on their own as complete non-elliptical sentences. Rather, they combine with S^0 expressions to yield S^0 expressions. S^0/S^0 words belong to two subtypes, those which occur before their S^0 arguments, and those which occur after their S^0 arguments.

The three basic constructions of Jakarta Indonesian



(8) *A Partial Lexicon of Jakarta Indonesian: S⁰ words*

| | | |
|---|--|--|
| a. ayam chicken | b. buku book | c. rumah house |
| d. ijo green | e. gede big | f. lapar hungry |
| g. lari run | h. makan eat | i. kasi give |
| j. Pian [name of person] | k. Mangga Besar [name of place] | l. Lebaran [name of holiday] |
| m. abang elder.brother | n. gue 1:SG | o. ini DEM:PROX |
| p. gini like-DEM:PROX | q. sini LOC-DEM:PROX | r. tadi PAST:PROX |
| s. tiga three | t. semua all | u. lain other |
| v. apa what | w. mana where / which | x. kapan when |
| y. ada exist | z. punya have | aa. bisa can |
| bb. udah PFCT | cc. paling SUPERL | dd. nggak NEG |
| ee. lagi also / more / again / next / FUT / CONTR | ff. sendiri only / alone / SUPERL / REFL / CONTR | gg. sama with / and / same / NON.ABS |

(9) *A Partial Lexicon of Jakarta Indonesian: S⁰/S⁰ words*

Preceding

| | | |
|------------------------------|---------------------|-------------------------------|
| a. kayak like | b. untuk for | c. buat for |
| d. di LOC | e. ke to | f. dari from |
| g. dengan with / and / by | h. tentang about | i. gara-gara because:ADVRS |
| j. tiap every | k. pada PL | l. ato or |
| m. yang REL | n. si PERS | o. kalo TOP |

Following

| | | |
|------------------|-----------------|-----------------------|
| p. doang only | q. aja just | r. juga also, then |
| s. kek UNCRT | t. diri REFL | u. dong EMPH |

Predictions for Acquisition

8

Prediction

Since S^0 is the ancestor category of S^0/S^0 , S^0 should be acquired before S^0/S^0 .

Subsidiary prediction

After S^0/S^0 is acquired, specific words belonging to S^0/S^0 will first be assigned to S^0 , and then subsequently reassigned to S^0/S^0 .

9

Testing the prediction through errors of overgeneralization

If the prediction is true, we would expect to find errors of overgeneralization: instances of S^0/S^0 words behaving like S^0 words.

Type A overgeneralization:

S^0/S^0 words occurring on their own as complete non-elliptical sentences.

Type B overgeneralization:

S^0/S^0 words occurring in larger constructions, but without their S^0 arguments.

Type C overgeneralization:

S^0/S^0 words occurring in construction with their S^0 arguments, but in the wrong order.

Type D overgeneralization:

S^0/S^0 words functioning as arguments of other S^0/S^0 words.

10

Syntactic categories and the acquisition of utterance length

★ Maximal utterance length imposes logical constraints on syntactic category inventories:

★ One-word stage: maximal inventory: S^0

★ Two-word stage: maximal inventory: S^0 , S^0/S^0 , S^1 , S^0/S^1

★ A corollary of (6c) is that the first syntactic category to be acquired is S^0 . Thus, (6c) is consistent with the existence of a one-word stage in early language acquisition.

★ However, (6c) does not entail the existence of a one-word stage, since one could imagine a hypothetical language-acquisition scenario in which the child began with multi-word utterances consisting entirely of S^0 expressions.

Rather, the occurrence of one- and two-word stages in language acquisition most probably reflects the development of syntagmatic rather than paradigmatic competence.

★ Thus, in order to test the prediction, it is necessary to examine the development of syntactic categories at two- or multi-word stages of development, where the effect of utterance length on syntactic category inventories can be factored out.

The MPI Jakarta Corpus

[Cole et al (2001, to appear), Gil (to appear), Taylor and Gil (this conference)]

| <i>Target Child</i> | <i>Date of Birth</i> | <i>Age at First Recording</i> | <i>Age at Last Recording</i> | 11 <i>Utterances Coded to Date</i> |
|-------------------------------|----------------------|-------------------------------|------------------------------|---------------------------------------|
| <i>Timothy</i> | 28.8.98 | 1;06 | 5;02 (projected) | 17,858 |
| <i>Hizkia</i> | 6.9.97 | 1;07 | 6;01 (projected) | 23,401 |
| <i>Riska</i> | 24.7.97 | 1;08 | 6;03 (projected) | 31,606 |
| <i>Michael</i> | 22.2.98 | 2;00 | 3;11 | 17,692 |
| <i>Priska</i> | 30.7.97 | 2;07 | 6;03 (projected) | 26,817 |
| <i>Larissa</i> | 16.4.97 | 2;10 | 6;06 (projected) | 19,476 |
| <i>Ido</i> | 1.1.96 | 3;04 | 6;06 | 30,699 |
| <i>Pipit</i> | 30.11.94 | 4;04 | 8;11 (projected) | 24,372 |
| <i>TOTAL: Target children</i> | | | | 191,921 |
| <i>Other children</i> | | | | 65,899 |
| <i>TOTAL: all children</i> | | | | 257,820 |
| <i>TOTAL: all adults</i> | | | | 282,793 |
| <i>TOTAL</i> | | | | 540,613 |

Type A Overgeneralizations

(10) *Context:* Older brother Timo is drawing in a book; experimenter, mother and grandmother are giving him advice, while Ari is off to one side echoing Timo's speech.

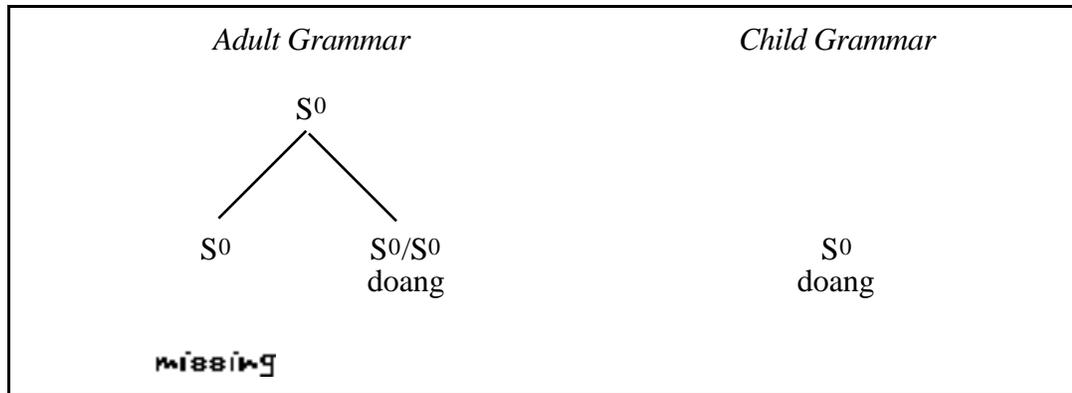
- (-5) Nih aku cuman coret-coret. *older brother*
DEM:PROX 1:SG only DISTR-scribble
 'See, I can only make scratches.'
- (-4) Jangan pake ... *grandmother*
NEG:IMP use
 'Don't use ...'
- (-3) Cuman begitu doang. *older brother*
only like-DEM:PROX only
 'It's only like this.'
- (-2) Tangan kiri, dong! *mother*
hand left EMPH
 'Use your left hand!'
- (-1) Nih Tante pegangin. *experimenter*
DEM:PROX aunt hold-END.POINT
 'Here, let me hold it for you.'

☞ (0) ***Doang*** [Ari 1;10]
only
 'Only.'

- (1) Timo gambar yang bener! *experimenter*
Timo picture REL right
 'Draw it right.'
- (2) Bagus. *experimenter*
good
 'Good.'
- (3) Pake tangan mana? *mother*
use hand which
 'Which hand are you using?'
- (-3') Ah, nggak bisa ini. *older brother*
EXCL NEG can DEM:PROX
 'I can't do this.'
- (-2') Bisa. *experimenter*
can
 'Yes you can.'
- (-1') Masa nggak bisa udah segede gini? *experimenter*
SURPR NEG can PFCT one-big like-DEM:PROX
 'How come a big boy like you can't do it?'

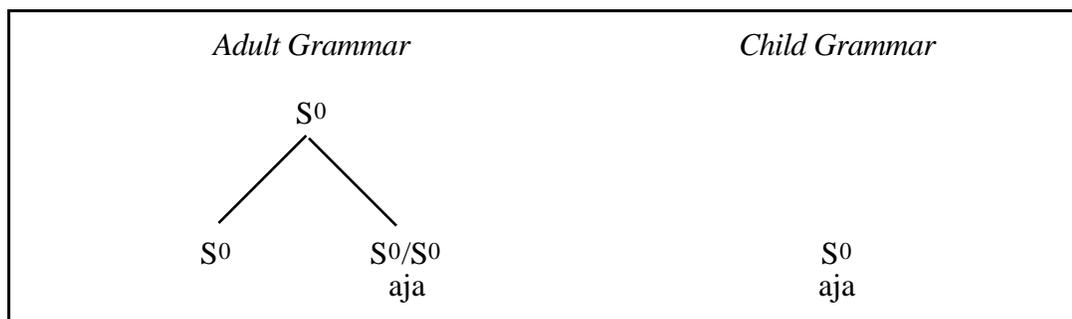
☞ (0') ***Doang.*** [Ari 1;10]
only
 'Only.'

- (1') Aku cuman begini doang. *older brother*
1:SG only like-DEN:PROX only
 'I can only do it like this.'
- (2') Heh... *grandmother*
EXCI.



(11) *Context:* Experimenter notices that Ari's hands are dirty.

- | | |
|---|---------------------|
| (-6) Kotor 'kan tanganmu, 'kan? dirty Q hand-2 Q 'You're hands are dirty, aren't they?' | <i>experimenter</i> |
| (-5) Cuci, cuci! wash wash 'Wash them, wash them.' | <i>experimenter</i> |
| (-4) Dicuci? PAT-wash 'Will you wash them?' | <i>experimenter</i> |
| (-3) Mo cuci? want wash 'Do you want to wash them?' | <i>experimenter</i> |
| (-2) Nih, tanganku juga. DEM:PROX hand-1:SG also 'Here, my hand as well.' | <i>experimenter</i> |
| (-1) Mo dicuci? want PAT-wash 'Do you want to wash it?' | <i>experimenter</i> |
| ☞ (0) Aja. just 'Just.' | [Ari 1;08] |
| (1) Nanti, ya? FUT:PROX yes 'Later, right?' | <i>experimenter</i> |



(12) *Context:* Experimenter is holding doll without any hair on its head; Michael, who also has very short hair, tries to stick something on the doll's head; experimenter pretends to speak for the doll.

(-5) Heh, kamu ape? [Michael 2;08]

EXCL 2 what
'Hey, what's with you?'

(-4) Hmm... [Michael 2;08]

EXCL
'Here.'

(-3) "Eh, jangan taro di kepalaku, dong." *experimenter*

EXCL NEG:IMP put LOC head-1:SG EMPH
"'Hey, don't put that on my head.'"

(-2) "Kepalaku 'kan botak." *experimenter*

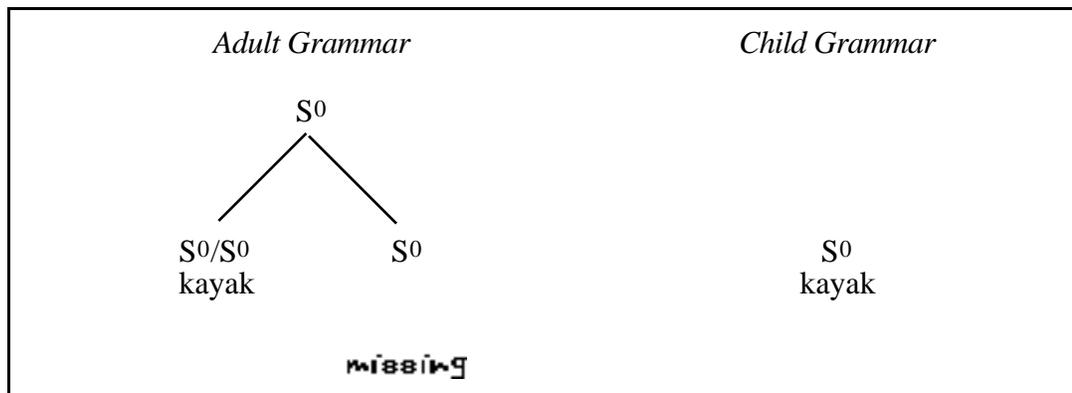
head-1:SG Q bald
"'My head's bald.'"

(-1) "Kayak kepala kamu, nggak?" *experimenter*

like head 2 NEG
"'Like your head, right?'"

☞ (0) **Kayak.** [Michael 2;08]

like
'Right.'



Cf. hypothetical grammatical paraphrase with S⁰/S⁰ expression *kayak* 'like' replaced by S⁰ expression *mirip* 'resemble':

Mirip kepala kamu, nggak?
Mirip.

(13) *Context:* Priska, older child and experimenter playing with hand puppets; Priska is Teddy Bear, older child is Winnie the Pooh, and experimenter is Mr. Elephant.

(-5) "Winnie de Pooh, kamu udah umur berapa?" *experimenter*

Winnie the Pooh 2 PFCT age how.much
 ""Winnie the Pooh, how old are you?""

(-4) "Empat tahun." *older child*

four year
 ""Four.""

(-3) Ini apaan ini? [Priska 3;02]

DEM:PROX what-AUG DEM:PROX
 'What's this?'

(-2) Trompet, pret. *older child*

trumpet IMIT
 'A trumpet, *pret.*'

(-1) "Kalo kamu Teddy Bear, umur berapa?" *experimenter*

TOP 2 Teddy Bear age how.much
 ""What about you, Teddy Bear, how old are you?""

☞ (0) **Untuk.** [Priska 3;02]

for
 'For.'

(1) "Tiga tahun." *older child*

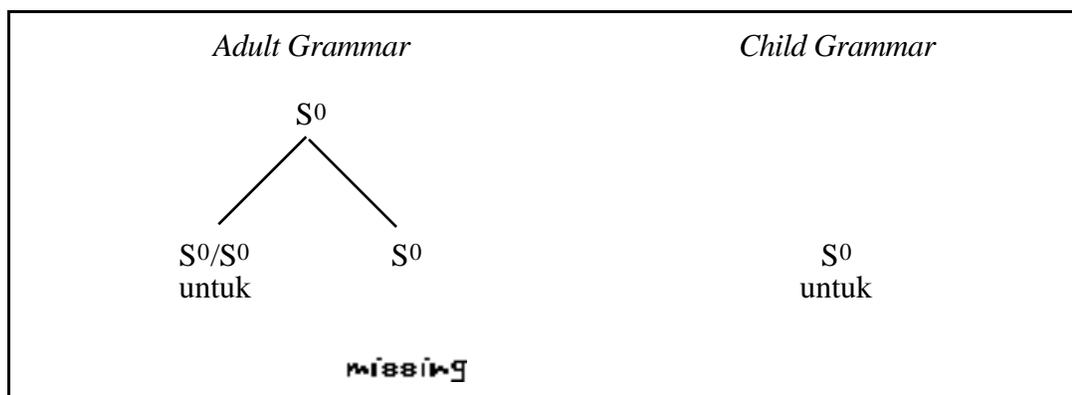
three year
 ""Three.""

(2) "Tiga tahun." [Priska 3;02]

three year
 ""Three.""

(3) Oh. *experimenter*

EXCL
 'Oh.'



(14) *Context:* Larissa, older sister and experimenter sitting in front of the computer.

(-2) Awas! *older sister*
 watch.out
 'Move over!'

(-1) Kak(ak) mo minum dulu, ah. *older sister*
 elder.sibling want drink first EXCL
 'I want to get something to drink.'

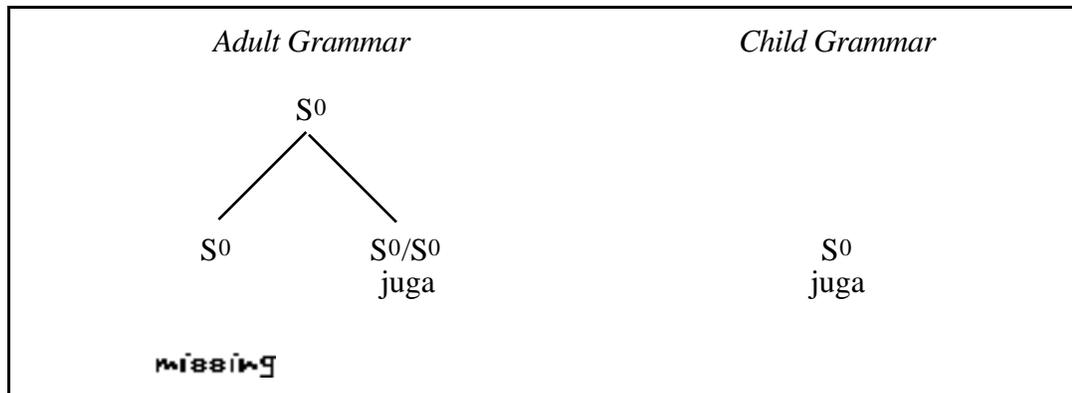
☞ (0) **Juga.** [Larissa 4;10]
 also
 'Too.'

(1) Juga. *experimenter*
 also
 'Too.'

(2) He-em. [Larissa 4;10]
 EXCL
 'Uh-huh.'

(3) Om juga. *experimenter*
 uncle also
 'Me too.'

(4) Tunggu. *older sister*
 wait
 'Wait a minute.'



Type B Overgeneralizations

(15) *Context:* Larissa and her older sister have been drawing; older sister has just completed a drawing, and the experimenter turns her attention to Larissa.

(-1) Nah. *experimenter*

PRES
'There.'

☞ (0) Sekarang, kok, aku kok, [Larissa 4;06]

now why:EMPH 1:SG why:EMPH
nggak bisa gambar bunga, kek?
NEG can picture flower UNCERT
'Now me, how come I can't seem to draw flowers?'

(1) Bisanya bikin pohon doang. [Larissa 4;06]

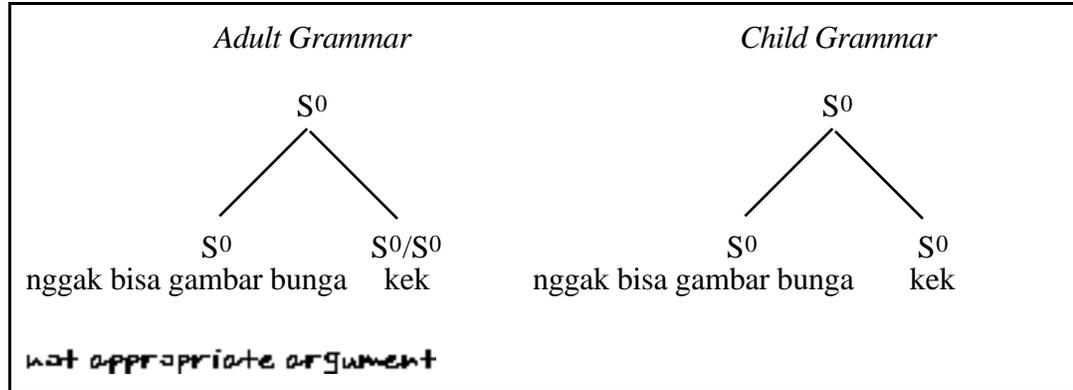
can-ASSOC make tree only
'I can only do trees.'

(2) Ya udah, bikin pohon! *experimenter*

yes PFCT make tree
'Fine, make trees then.'

(3) Nggak pa-pa. *experimenter*

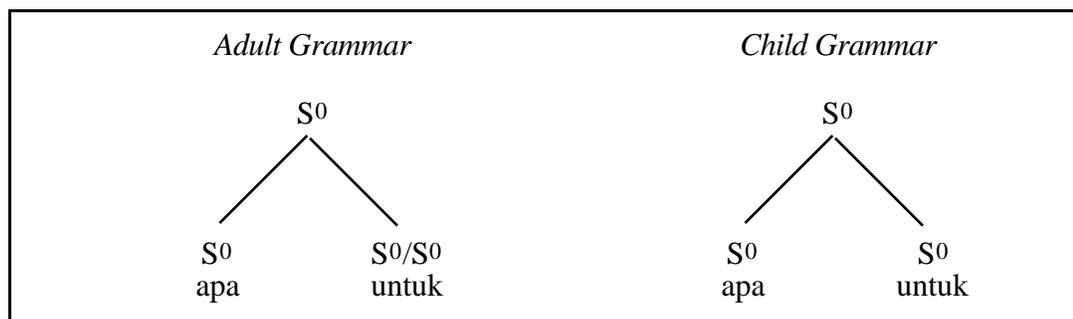
NEG DISTR-what
'It doesn't matter.'



Type C Overgeneralizations

(16) *Context:* Michael examining a toy first aid kit.

- | | | |
|-------|---|---------------------|
| (-9) | Apa ini? what DEM:PROX 'What's this?' | [Michael 2;10] |
| (-8) | Ini apa ini? DEM:PROX what DEM:PROX 'What's this?' | [Michael 2;10] |
| (-7) | Ini apa ini? DEM:PROX what DEM:PROX 'What's this?' | [Michael 2;10] |
| (-6) | Ini namanya... DEM:PROX name-ASSOC 'This is called ...' | <i>experimenter</i> |
| (-5) | Ini yang me(rah)-merah apa? DEM:PROX REL DISTR-red what 'What's this red thing?' | [Michael 2;10] |
| (-4) | Palang merah. cross red 'A red cross.' | <i>experimenter</i> |
| (-3) | Yang merah-me(rah). REL DISTR-red 'The red thing.' | [Michael 2;10] |
| (-2) | Palang merah apa? cross red what 'What's a red cross?' | [Michael 2;10] |
| (-1) | Hmm? EXCL 'Huh?' | <i>experimenter</i> |
| ☞ (0) | Palang merah <i>apa untuk</i> ? cross red what for 'What's the red cross for?' | [Michael 2;10] |
| (1) | Palang merah ini. cross red DEM:PROX 'This is a red cross.' | <i>experimenter</i> |
| (2) | Bantuan untuk orang sakit. help-AUG for person sick 'It's for helping sick people.' | <i>experimenter</i> |



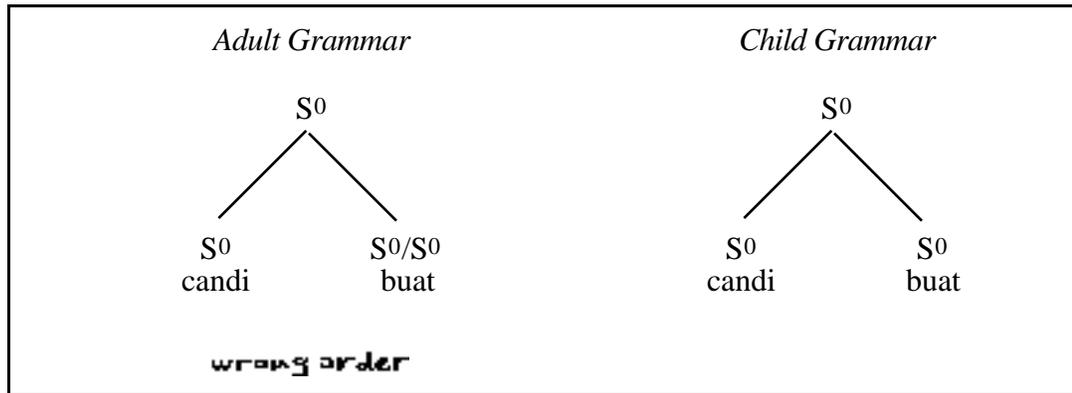
(17) *Context:* Michael, playing with a construction kit, smashes his creation and plans to begin afresh and build a temple.

(-2) Yah, rusak lagi, deh. *experimenter*
 EXCL broken.down again EXCL
 'Oh no, it's broken again.'

(-1) Eh, Kel, kemaren Michael ke mana, sih? *experimenter*
 EXCL FAM-Michael yesterday Michael to which EXCL
 'Hey, Michael, where did you go yesterday?'

☞ (0) *Candi buat.* [Michael 2;06]
 temple for
 'For a temple.'

(1) Hah? *experimenter*
 EXCL
 'Huh?'



(18) *Context:* Michael pretending to be a cook.

(-4) Maunya mi goreng? [Michael 2;09]
 want-ASSOC noodles fry
 'Do you want fried noodles?'

(-3) Sapi aja. *mother*
 cow just
 'I want beef.'

(-2) Mau mi goreng. [Michael 2;09]
 want noodles fry
 'Fried noodles.'

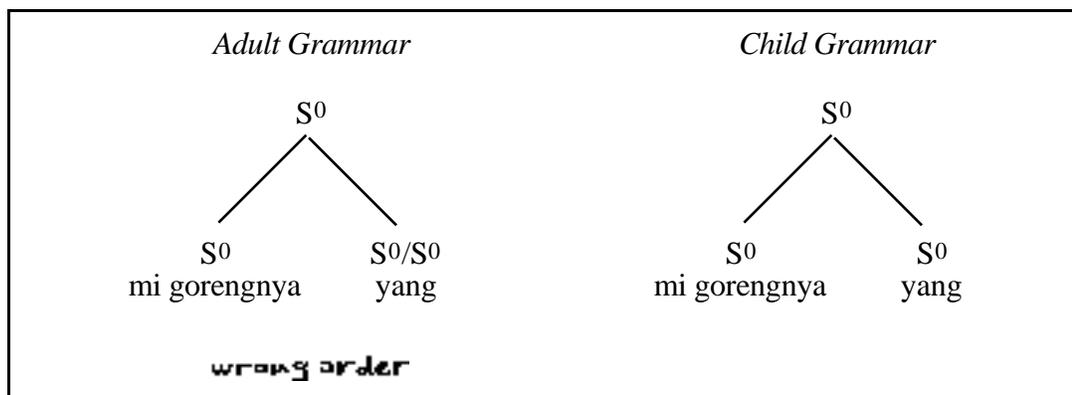
(-1) Oh, iya, mi goreng, deh. *mother*
 EXCL yes noodles fry EXCL
 'Oh alright, fried noodles then.'

☞ (0) *Mi gorengnya yang* berapa? [Michael 2;09]
 noodles fry-ASSOC REL how.much
 'How many fried noodles?'

(1) Tiga. *mother*
 three
 'Three.'

(2) Tiga. [Michael 2;09]
 three
 'Three.'

(3) He-em. *mother*
 EXCL
 'Uh-huh.'



(19) *Context:* Priska is playing with coloured stickers, and has a little squabble with her mother over a pair of small toy bags.

(-4) Mana? [Priska 4;03]
 which
 'Where is it?'

(-3) 'Tasnya buat kamu?' *mother*
 bag-ASSOC for 2
 'Is the bag for you?'

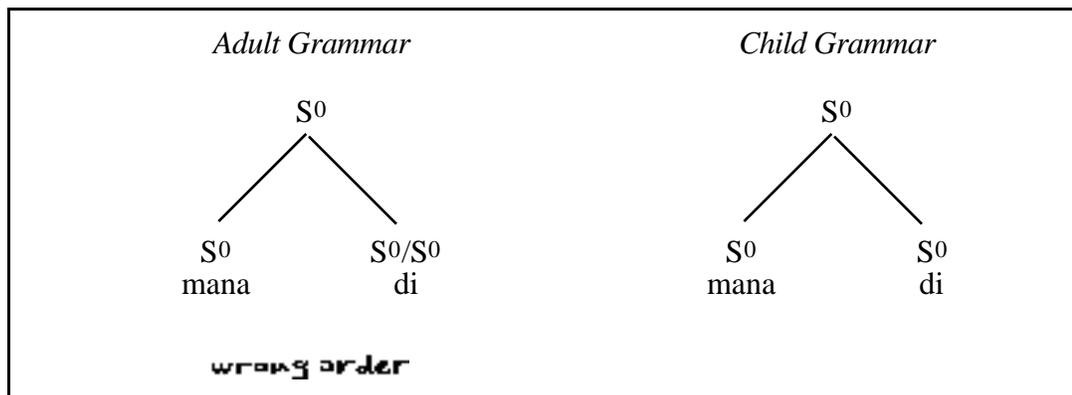
(-2) 'He... ya.' [Priska 4;03]
 EXCL yes
 'Yeah.'

(-1) 'Tasnya yang buat kamu?' *mother*
 bag-ASSOC REL for 2
 'Is this the bag that's for you?'

☞ (0) *Mana di* warna kuningnya? [Priska 4;03]
 which LOC colour yellow-ASSOC
 'Where's the yellow?'

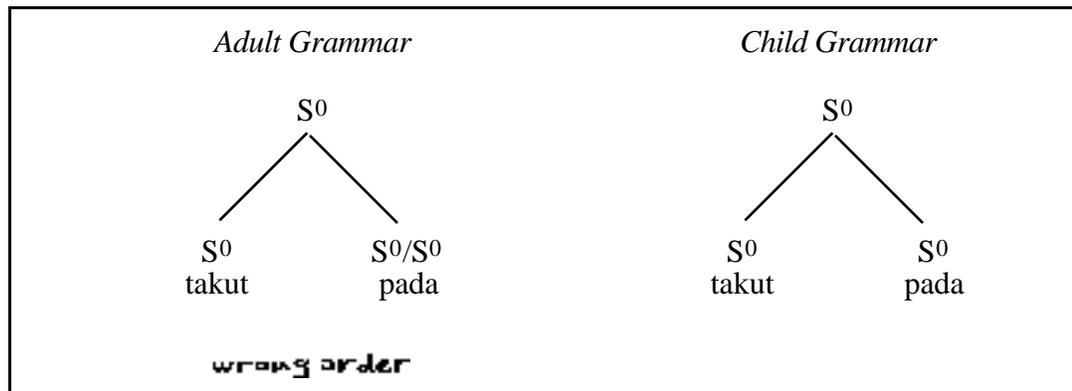
(1) Lha? *mother*
 EXCL
 'Huh?'

(2) Hi hi hi, ni dia. *mother*
 EXCL DEM:PROX 3
 'Hee hee hee, here it is.'



(20) *Context:* Discussing ghosts.

- (-7) Berarti ada berapa? *experimenter*
MED-meaning exist how.much
'So how many are there?'
- (-6) Satu, dua, tiga, empat, lima. [Pipit 4;04]
one two three four five
'One, two, three, four, five.'
- (-5) Banyak banget, takut aku! *experimenter*
much very afraid 1:SG
'So many, I'm scared.'
- (-4) Pipit takut, nggak? *experimenter*
Pipit afraid NEG
'Are you scared?'
- (-3) Takut. [Pipit 4;04]
afraid
'Yes.'
- (-2) Tapi kalo berdoa, hantunya takut, nggak? *experimenter*
but TOP MED-pray ghost-ASSOC afraid NEG
'But if you pray, the ghosts are afraid, aren't they?'
- (-1) Kalo berdoa hantunya turun. [Pipit 4;04]
TOP MED-pray ghost-ASSOC descend
'If you pray, the ghosts will come down.'
- ☞ (0) Mo pulang, takut *pada*. [Pipit 4;04]
want go.home afraid PL
'They'll go home, they're scared.'



Type D Overgeneralizations

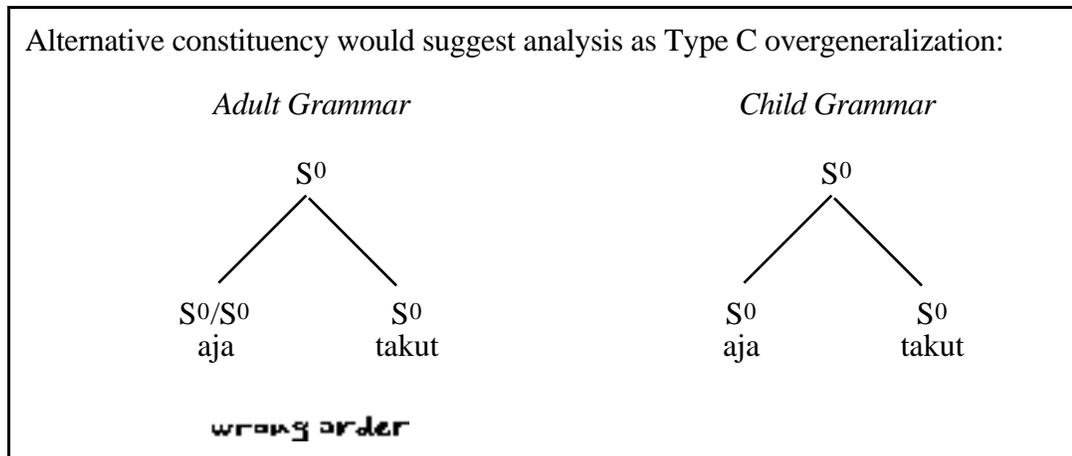
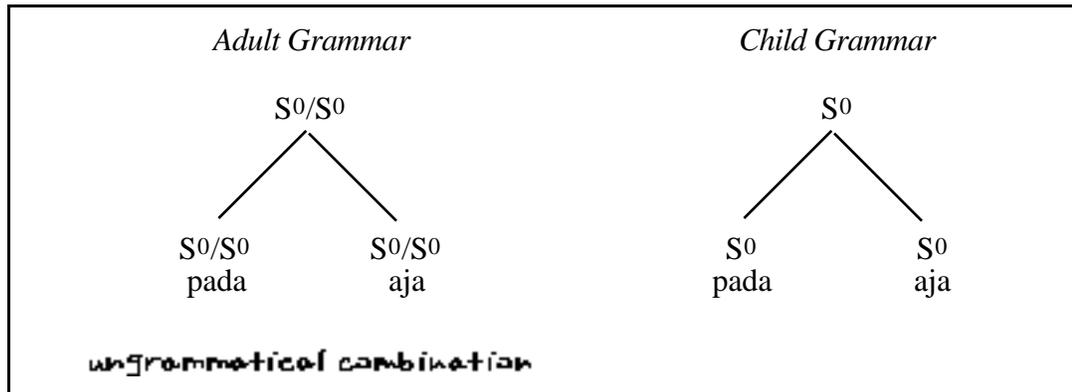
(21) *Context:* Playing with a doll house.

(-1) C(er)itanya udah... udah malem.
story-ASSOC PFCT PFCT night
 'Suppose it's already, already night.'

[Pipit 5;04]

☞ (0) Eh, kacanya **pada aja** ditutup!
EXCL glass-ASSOC PL just PAT-close
 'Hey, let's just close all the windows.'

[Pipit 5;04]



Conclusions

12

As predicted, S^0 is acquired before S^0/S^0 in Jakarta Indonesian.

Further support is thereby obtained for the universal theory of syntactic categories, and for the specific analysis of Jakarta Indonesian as possessing just two syntactic categories, S^0 and S^0/S^0 .

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Further empirical questions:

- ★ At what stage of language acquisition is the category S^0/S^0 acquired?
- ★ At what stages and in what order are individual words assigned to the category S^0/S^0 ?

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Further theoretical question:

On the basis of what evidence does the child assign individual words to the category S^0/S^0 ?

A possible answer:

- ★ Negative indirect evidence (Pinker 1981, 1984, Goldberg 1995).
- ★ If the child observes that in certain contexts an otherwise preferred construction is repeatedly avoided, s/he may accordingly infer that, in the contexts in question, this construction is disallowed.
- ★ In the case at hand, the Jakarta Indonesian child observes that in certain contexts members of S occur by themselves as complete utterances; however, when, in similar contexts, a certain expression occurs over and over again in construction with another expression, s/he may conclude that the expression in question belongs to the closed syntactic category S^0/S^0 .

... which raises yet another theoretical question:

Is the child predisposed by an innate universal grammar to assign words with certain meanings (eg. more abstract ones) to certain syntactic categories (eg. more complex ones)?

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